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by Ray Bulen

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Doherty et al.

Serial No.:

09/234,208

Filed:

January 20, 1999

For:

HER-2 BINDING ANTAGONISTS

Art Unit:

1642

Examiner:

Anne L. Holleran

Docket No.:

49321-1

Date:

August 24, 2005

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the requirements in 37 C.F.R. § 1.56, and in conformance with 37 C.F.R. 1.97 and 1.98, Applicants hereby submit a Supplemental Information Disclosure Statement.

Applicants respectfully request that the Examiner: (1) consider the following documents during the course of her examination of the above-identified patent application, and (2) list the following documents in the References Cited section of any patent that may issue from the above-identified patent application.

Applicants have enclosed copies of all non-US documents, along with a completed Information Disclosure Statement by Applicant listing form (formerly 1449).

The order of these references, or the inclusion of any comments thereon, should not be construed to suggest their relative pertinence. The filing of this Information Disclosure Statement should not be construed to suggest that a patentability search has been made or that the cited references are prior art or are considered to be material to patentability.

Applicants respectfully request consideration of the foregoing documents during examination of the above-identified patent application.

Respectfully submitted,

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Substitute for form 1449/PTO ADS...

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 5

Complete if Known					
Application Number	09/234,208				
Filing Date	January 20, 1999				
First Named Inventor	Doherty				
Art Unit	1642				
Examiner Name	Anne Holleran				
Attorney Docket Number	49321-1				

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. 1	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	C105	US-6,541,214	04-01-2003	Clinton et al.		
	C106	US-20030059863	03-17-2003	Clinton et al.		
	C107	US-20040022785	02-05-2004	Clinton et al.		
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	C109	US-5,705,157	01-06-1998	Greene et al.		
	C110	US-5,811,098	09-22-1998	Plowman et al.		
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*		Foreign Patent Document	Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Τ°		
muais	NO.	Country Code 3-Number 4-Kind Code 5 (if known)	Date MM-DD-YYYY			Ĺ		
	C111	WO0029609	05-25-2000	Oregon Health Sciences University				
	C112	WO0044403	08-03-2000	Oregon Health Sciences University				
	C113	WO0161356	08-23-2001	Oregon Health Sciences University				
·	C114	WO0214470	02-21-2002	Oregon Health and Science University				
	C115	WO05/016966	02-24-05	Receptor BioLogix, Inc.				

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to compete this form and/or suggestions for reducing this burden, should be sent to the Chief Information

Su	Substitute for form 1449/PTO			Complete if Known		
INFO	INFORMATION DISCLOSURE			Application Number	09/234,208	
STATEMENT BY APPLICANT				Filing Date	January 20, 1999	
			First Named Inventor	Doherty		
	(Use as many sheets as necessary)			Art Unit	1642	
				Examiner Name	Anne Holleran	
Sheet	2	of	5	Attorney Docket Number	49321-1	

Francis :		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials•	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Examiner	Date	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformation with MPEP 609. Draw line through citation if not in conformation and not considered. Include copy of this form with next communication to applicant.

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